



GATEWAY DOCKET No.:P1734US00
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Richard F. Nelson
Application No.: 09/989823
Filed: 11/20/2001
For: SPEECH TO TEXT CONVERSION FOR HANDHELD DEVICE
Group Art Unit: NOT YET ASSIGNED
Examiner: NOT YET ASSIGNED

RECEIVED

APR 11 2003

Assistant Commissioner for Patents
Washington, DC 20231

Technology Center 2600

STATEMENT FOR SUPPLEMENTAL INFORMATION DISCLOSURE UNDER
37 C.F.R. § 1.97 (e)

CERTIFICATE OF MAILING / TRANSMISSION (37 C.F.R. § 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:


MAILING

FACSIMILE

☒ Deposited with the United States Postal Service
with sufficient postage as first class mail in an
envelope addressed to the Assistant Commissioner for
Patents, Washington, DC 20231

☐ Transmitted by facsimile to the Patent and
Trademark Office. (703)

Date: 4/3/03


Signature

Nan E. Moser

IDENTIFICATION OF SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT
FOR WHICH THIS STATEMENT IS BEING MADE

1. This statement is being made for the Supplemental Information Disclosure Statement

☒ Accompanying this statement

☐ Filed _____
Date

STATEMENT

2. I, the person(s) signing below state:

☒ That each item of information contained in the supplemental information disclosure
statement was cited in a communication from a foreign patent office in a counterpart

foreign application not more than three months prior to the filing of the supplemental information disclosure statement. 37 C.F.R. § 1.97(e)(1).

OR

- ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and to the knowledge of the person signing the statement after making reasonable inquiry, was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement. 37 C.F.R. § 1.97(e)(2).

IDENTIFICATION OF PERSON(S) MAKING THIS STATEMENT

3. The person making this statement is

- a.) ☐ The inventor(s) who signs below
- b.) ☒ a person who is substantively involved in the preparation of prosecution of the application, and who is associated with the inventor, with the assignee, or with anyone to whom there is an obligation to assign the application (37 C.F.R. § 1.56(c)) and who signs below.



SIGNATURE OF PERSON MAKING STATEMENT

Mark S. Walker (Reg. No. 30,699)

(type name of person who is signing and reg no.)

Gateway, Inc.
14303 Gateway Place
Poway, CA 92064
Phone: (858) 848-3449
Fax: (858) 848-2671

Address and telephone number of person who is signing

30408

CUSTOMER NUMBER



2654
#4
30
4/13/03

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/989,823
	Filing Date	11/20/2001
	First Named Inventor	Richard F. Nelson
	Group Art Unit	not yet assigned
	Examiner Name	not yet assigned
Total Number of Pages in This Submission	Attorney Docket Number	P1734US00

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below)
Remarks		1. Self-addressed, stamped postcard

RECEIVED
APR 11 2003
Technology Center 2600

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Mark S. Walker (registration no. 30,699)
Signature	
Date	4-2-03

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 4/13/03			
Typed or printed name	Nan E. Moser		
Signature		Date	4/13/03

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	09/989823
				Filing Date	11-20-01
				First Named Inventor	Richard F. Nelson
				Art Unit	not yet assigned
				Examiner Name	not yet assigned
Sheet	1	of	1	Attorney Docket Number	2152 P1734US00

[illegible][illegible]

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not Considered. Include of this form with next communication to applicant.

1. Applicant's unique citation designation number (optional) 2. See Kinds codes of USPTO patent Documents www.uspto.gov or MPEP 901.04. 3. Enter Office that issued the document, by the two-letter code WIPO Standard ST.3. 4. For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5. Kind of document by the appropriate symbols as indicated on the document under wipo Standard ST. 16 if possible. 6. Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**